

ARKANSAS DEPARTMENT OF ENVIRONMENTAL QUALITY
DISCLOSURE STATEMENT

Date _____

APPLICANT: _____

Street _____ City _____ State _____ Zip _____

Phone Number _____ Social Security Number or Employer I.D. Number _____

is making application to the Arkansas Department of Environmental Quality for the issuance of a permit as follows:

(ex., facility type)

In compliance with the requirements of Act 454 of 1991, the Disclosure Law, the following information should be submitted and signed by the applicant or an owner, officer or partner of the applicant. **(Any sections that do not apply to you must be indicated by N/A for Not Applicable.)**

A. List all Officers of the applicant.

(1)

(2)

Name:		
Title:		
Street:		
City:		
State:		
Zip:		
SS #:		

(3)

(4)

Name:		
Title:		
Street:		
City:		
State:		
Zip:		
SS#:		

B. List all Directors of the Applicant.

(1)

(2)

Name:		
Title:		
Street:		
City:		
State:		
Zip:		
SS #:		

(3)

(4)

Name:		
Title:		
Street:		
City:		
State:		
Zip:		
SS #:		

(5)

(6)

Name:		
Title:		
Street:		
City:		
State:		
Zip:		
SS #:		

(Attach additional sheets if necessary)

C. List all Partners of the Applicant.

(1)

(2)

Name:		
Title:		
Street:		
City:		
State:		
Zip:		
SS #:		

(3)

(4)

Name:		
Title:		
Street:		
City:		
State:		
Zip:		
SS #:		

(5)

(6)

Name:		
Title:		
Street:		
City:		
State:		
Zip:		
SS #:		

(Attached additional sheets if necessary)

D. List all persons employed by the applicant in a supervisory capacity or with authority over operations of the facility which is the subject of this application.

(1)

(2)

Name:		
Title:		
Street:		
City:		
State:		
Zip:		
SS #:		

(3)

(4)

Name:		
Title:		
Street:		
City:		
State:		
Zip:		
SS #:		

(Attach additional sheets if necessary)

E. List all persons owning or controlling five percent (5%) or more of the applicant's debt or equity.

(1)

(2)

Name:		
Title:		
Street:		
City:		
State:		
Zip:		
SS #:		

(3)

(4)

Name:		
Title:		
Street:		
City:		
State:		
Zip:		
SS #:		

(5)

(6)

Name:		
Title:		
Street:		
City:		
State:		
Zip:		
SS #:		

(7)

(8)

Name:		
Title:		
Street:		
City:		
State:		
Zip:		
SS #:		

(Attach additional sheets if necessary)

F. List any Parent Company of the Applicant and describe the Parent's ongoing organizational relationship with the applicant.

Name:	Organizational Relationship
Title:	
Street:	
City:	
State:	
Zip:	

(Attach additional sheets if necessary)

G. List any subsidiary company of the applicant and describe each subsidiary's ongoing organizational relationship with the applicant.

Name:	Organizational Relationship
Title:	
Street:	
City:	
State:	
Zip:	

Name:	Organizational Relationship
Title:	
Street:	
City:	
State:	
Zip:	

(Attach additional sheets if necessary)

H. List each legal entity in which the applicant holds a debt or equity interest of five percent (5%) or more and describe each legal entity's ongoing organizational relationship with the applicant.

Name:	Organizational Relationship
Title:	
Street:	
City:	
State:	
Zip:	

Name:	Organizational Relationship
Title:	
Street:	
City:	
State:	
Zip:	

Name:	Organizational Relationship
Title:	
Street:	
City:	
State:	
Zip:	

I. List and describe any person not listed in subsections A-H of this Statement who is:

- (1) Currently in violation or has a history of violations with the environmental laws or regulations of this state or any other jurisdiction; and
- (2) Related to the applicant or any persons listed in subsections A-H of this Statement by blood, marriage or related to the applicant or any persons listed in subsections A-H of this Statement by any other relationship which significantly influences the applicant.

(1)

(2)

Name:		
Relationship:		
Street:		
City:		
State:		
Zip:		
SS #:		

(3)

(4)

Name:		
Relationship:		
Street:		
City:		
State:		
Zip:		
SS #:		

(Attach additional sheets if necessary)

J. Give a description of the experience and credentials of the applicant, including any past or present permits, licenses, certifications, or operational authorization relating to environmental regulation in this State or any other jurisdiction.

(Attach additional sheets if necessary)

K. List and provide an explanation of all civil or criminal legal actions by government agencies involving environmental protection laws or regulations against the applicant and all persons and entities identified in Sections A-I of this Statement in the ten years immediately preceding the filing of the application, including:

- (1) Administrative enforcement actions resulting in the imposition of sanctions;
- (2) Permit or license revocations or denials issued by any state or federal authority;
- (3) Actions that have resulted in a finding or a settlement of a violation; and
- (4) Actions that are pending.

(Attach additional sheets if necessary)

- L. List all federal environmental agencies and any other environmental agencies outside this state that has or has had regulatory responsibility over the applicant.

(Attach additional sheets if necessary)

If the applicant is a publicly-held company required to file periodic reports under the Securities and Exchange Act of 1934 or a wholly-owned subsidiary of a publicly-held company, the applicant shall not be required to submit a disclosure statement, but shall submit the most recent annual and quarterly reports, i.e., prospectus, required by the Securities and Exchange Commission, which provide information regarding legal proceedings in which the applicant or its parent has been involved.

The applicant agrees to provide any other information the Director of ADEQ may require at any time to comply with the provisions of the Disclosure Law. The applicant further agrees to provide ADEQ with any changes, modifications, deletions, additions or amendments to any part of this Statement as they occur by filing an Amended Disclosure Statement.

STATE OF _____

COUNTY OF _____

_____ swears and affirms that all of the
(Name of Applicant)
information contained in this Disclosure Statement is true and correct to the best of my information and belief.

SIGNED: _____
(Name of Applicant)

BY: _____

TITLE: _____

SUBSCRIBED AND SWORN to before me this _____ day of _____, 19__.

NOTARY PUBLIC

MY COMMISSION EXPIRES: _____

DELIBERATE FALSIFICATION OR OMISSION OF RELEVANT INFORMATION FROM DISCLOSURE STATEMENTS SHALL BE GROUNDS FOR CIVIL OR CRIMINAL ENFORCEMENT ACTION OR ADMINISTRATIVE DENIAL OF A PERMIT, LICENSE, CERTIFICATION OR OPERATIONAL AUTHORIZATION.